

Mergers & Acquisitions

- Represented an investment advisory company and its affiliates as sole transactional, regulatory and tax counsel in the indirect acquisition of 75% of the equity of the company by an asset and wealth management firm and its affiliates.
- Represented a national registered investment advisory firm in its acquisition by another national registered advisory firm, overseeing the structuring, legal due diligence, and negotiation of the definitive agreements for the transaction.
- Represented the management group of an independent investment management firm's business unit in its spin-off to the unit's management group
- Represented a registered investment advisory firm in its acquisition by another firm, overseeing the structuring, legal due diligence, and negotiation of the definitive transaction agreements.