

## Before the Bell Breakfast Forum: Top 10 Things to Know about ERISA Compliance for Broker Dealers and Investment Advisers

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April 16, 2015

7:15-8:30 a.m.

The Townsend Hotel, Birmingham, MI

Area investment advisors, registered representatives, and trust officers are invited to join us for breakfast and a presentation by Kimberly Shaw Elliott, an affiliate expert of the Bates Research Group and ERISA investment lawyer. Bates Research Group is a leading securities expert firm, providing research, account/portfolio/investment analysis expert testimony in securities arbitrations.