

## Before the Bell Breakfast Forum: Securities Industry Update

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September 19, 2013

Townsend Hotel (Birmingham)

Area investment advisors, registered representatives, and trust officers are invited to join Miller Canfield and Linda Cena + Jason Craft from the State of Michigan. Discussions include:

- Examination sweep results and best practices derived from the sweep
- IA switch firms' most common violations
- What's new legislatively in the securities industry

**Time:**

7:15 AM - 8:30 AM

**Location:**

The Townsend Hotel  
Birmingham, MI

**Cost:**

There is no cost to attend; space is limited to registered representatives, investment advisors and trust officers; advance reservations required.

**Questions:**

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