

Before the Bell Breakfast Forum: The Changing Rules - Suitability, Know-Your-Customer and Social Media

December 15, 2011

Townsend Hotel (Birmingham)

Discussions will include two new consolidated FINRA rules governing Know-Your-Customer (Rule 2090) and Suitability (Rule 2111) obligations of broker-dealers recently approved by the SEC as well as how the FINRA rules governing communications with the public apply to social media sites that are sponsored by a firm or its registered representatives.

Time:

7:15 AM - 8:30 AM

Location:

The Townsend Hotel
Birmingham, MI

Cost:

There is no cost to attend; space is limited to registered representatives, investment advisors and trust officers; advance reservations required.

Questions:

Sandy Bera 248.267.3345