

CHICAGO

227 West Monroe St.
Suite 3600
Chicago, Illinois 60606
T: +1.312.460.4261
O: +1.312.460.4200
F: +1.312.460.4201

rotunno@millercanfield.com

Services

Corporate and Transactions
Securities Regulation
Financial Services
C&I and Asset-Based Finance
Litigation and Dispute Resolution
Insurance Litigation
Securities Litigation

Industries

Financial Institutions

Education

Loyola University of Chicago
School of Law, J.D.
University of Illinois Champaign-
Urbana, B.S.

Bar Admissions

Illinois

Court Admissions

U.S. Court of Appeals

- Seventh Circuit

U.S. District Court

- Northern District of Illinois
- Northern District of Illinois Trial Bar
- Central District of Illinois
- Eastern District of Wisconsin



Steven J. Rotunno

Of Counsel

Steven J. Rotunno has more than three decades of experience representing limited liability companies, corporations, partnerships and financial services professionals in complex and wide-ranging legal matters, including investment advisory services, securities matters, employment law and general commercial litigation.

He represents clients before federal and state courts, as well as the Securities and Exchange Commission, New York Stock Exchange, Financial Industry Regulatory Authority, and the American Arbitration Association in issues involving restrictive covenants, regulatory investigations and business disputes. He provides counsel to individuals and registered investment advisory firms in connection with mergers and acquisitions and preparing the Form ADV, client investment management agreements, compliance manuals and other necessary documents. He also serves as an arbitrator for the Financial Industry Regulatory Authority.

Steve is a frequent speaker on business issues, including the ever-evolving securities regulatory environment, restrictive covenants in employment agreements, business succession plans and contract enforcement.