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Services

Litigation and Dispute Resolution
Appeals
Arbitration and Alternative
Dispute Resolution
Class and Collective Actions
Commercial and Corporate
Litigation
Securities Litigation
Intellectual Property
Intellectual Property Litigation
Trade Secrets and Non-
Competes

Industries

Automotive



Matthew P. Allen

Principal

Matthew P. Allen is a business litigator and trial lawyer who leads Miller Canfield's securities litigation team. He has tried and arbitrated a wide variety of cases, ranging from felony and white collar matters in Detroit's criminal courts to bet-the-company securities and international intellectual property cases. He has conducted internal investigations for companies and universities involving sensitive issues including export controls, U.S. national security, espionage, international securities fraud, and sexual abuse and assault. He is also a skilled appellate lawyer who has handled cases in state and federal appellate courts. Matt is a court-certified mediator and complex commercial arbitrator with the American Arbitration Association.

Over the past decade, Matt has been consistently voted by his peers as a leading lawyer in Michigan and the United States by the publications *Best Lawyers in America*, *Michigan Super Lawyers*, *Legal 500*, and *Leading Lawyers*. Matt has also been selected as a Fellow in the Litigation Counsel of America, an invitation-only trial lawyer honorary society composed of less than one-half of one percent of American lawyers.

In addition to handling complex litigation matters before trial and appellate courts, Matt has experience defending national class action lawsuits in federal court and arbitrating securities matters before the Financial Industry Regulatory Authority (FINRA). He has handled trade secret, construction and other commercial matters before the American Arbitration Association and tried an international arbitration in Stockholm, Sweden, under the Swedish Arbitration Act, in addition to handling other international litigation matters.

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Energy
Financial Institutions

Education

Wayne State University Law
School, J.D., 2000

State University of New York
College at Fredonia, B.A., 1997

Bar Admissions

Michigan
Illinois
New York

Court Admissions

U.S. Supreme Court
U.S. Court of Appeals
• Sixth Circuit
U.S. District Court

- Eastern District of Michigan
- Western District of Michigan
- Northern District of Illinois
- Southern District of New York
- Northern District of New York

Matt has represented corporations, audit committees, and corporate officers and directors in civil and criminal investigations and enforcement actions by the U.S. Securities and Exchange Commission, U.S. Federal Trade Commission, U.S. Department of Justice, U.S. Department of Homeland Security, U.S. Department of Commerce, U.S. Department of the Treasury and U.S. Federal Election Commission. He also tried numerous felony bench and jury trials and conducted felony preliminary examinations while serving as a Special Assistant Prosecuting Attorney in Wayne County (Detroit) Michigan.

Representative Matters

SECURITIES

SEC Rule of Practice 193 Precedent & Reentry Win

Persuaded the U.S. Securities and Exchange Commission to eliminate its long-standing "extraordinary circumstances" test for reentry into the securities industry, securing client reinstatement and establishing a nationally significant regulatory precedent under SEC Rule of Practice 193.

Mortgage Securities Fraud Jury Trial

Lead trial lawyer in successful representation of CEO of mortgage security company in one of the largest mortgage securities fraud trials in Michigan history. The multi-week jury trial was the culmination of over three years of litigation that included more than 50 individual and institutional plaintiffs, 20 defendants, and parallel criminal investigations.

Securities, Derivative, and ERISA Multi-District Litigation Defense

Successfully represented officer of one of the world's largest makers of auto parts in a multi-district securities, derivative, and ERISA action against the company, its officers and executives, securities underwriters, outside auditors, suppliers, financial institutions, and which involved a parallel criminal investigation and U.S. Securities and Exchange Commission (SEC) enforcement action, as well as related bankruptcy proceedings of relevant entities and individuals.

Merger & Acquisition Litigation

Represented directors and officers of selling companies sued by private equity purchasers alleging negligence and fraud in connection with pre-acquisition representations and warranties.

Securities Class Action Defense

Represented publicly traded bank in securities class action involving claims of fraud relating to disclosures about a Consumer Financial Protection Bureau inquiry and investigation. Filed successful motion to dismiss the class action with prejudice.

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SEC Enforcement Action Defense

Successfully represented former officers for two of the world's largest makers of auto parts in securities actions and parallel investigations by the U.S. Department of Justice (DOJ) and SEC.

SEC, State and FINRA Regulatory Investigation and Examination Defense

Represent broker-dealers, investment advisers, and their adviser and registered representatives in an array of SEC, FINRA, and state-agency examinations, investigations, and enforcement actions.

FINRA Arbitrations

Represent broker-dealers and registered representatives in FINRA arbitrations involving an array of complex products and multi-party disputes.

Fortune 100 Insider Trading

Represented former executive officer of Fortune 100 company in an insider trading investigation by the SEC Offices in Philadelphia and Washington, D.C. Successfully resolved the investigation short of an enforcement action being filed.

Municipal Securities Investigations

Represent municipal securities issuers, advisors and underwriters in investigations by the SEC and advising on the SEC's Municipal Continuing Disclosure Cooperation Initiative.

CORPORATE AND SHAREHOLDER

Corporate Oppression and Dilution Cases

Represented Goldman Sachs private equity investment fund in federal litigation with a business development company alleging dilution of corporate ownership interests as a result of various share issuances, sales, and transfers.

Shareholder Oppression Litigation

Represented conglomerate of real estate development, construction and management companies against former minority shareholders who alleged they were oppressed by being "frozen out" of their employment and ownership interests. The case included a counter-suit alleging that the former minority shareholders had embezzled and misappropriated company funds and assets, including the companies' trademark and copyright interests as well as investments and profits in South Asia. The matter raised important procedural and jurisdictional questions regarding the interplay of federal court, state court, arbitration under the Michigan Uniform Arbitration Act, and discovery in South Asia.

Employee Stock Ownership Plan / Stock Warrant Litigation

Represented officer and director of a Michigan corporation who also serves as a fiduciary to an ERISA Employee Stock Ownership Plan (ESOP) for the company employees. The ESOP purchased the stock for employees from the former owners and directors of the company in exchange for various promissory notes and stock warrants issued to the owners by the ESOP and the company. The former owners sued the company and its director in state and federal court alleging violations of the federal securities laws and state law concerning the valuation and structure of the transaction, as well as the terms of the stock warrant exercises by the owners. The former owners sued the law firm that structured the ESOP transaction for malpractice in a companion federal court case. The case raised complicated issues of federal securities, employee benefits and tax law, as well as Michigan state common law, including the structure and application of

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corporate, securities, common law and ERISA fiduciary duties. The case included first impression issues as to the scope and application of corporate attorney client privilege as it pertains to former officers and directors in Michigan, and whether federal or state (or both) privilege law applies. Matt eventually convinced the state and federal trial courts to dispose of the actions against his client before trial, and convinced both state and federal appellate courts to affirm those rulings.

Corporate Wrongful Death Litigation

Represented the nation's largest ride-sharing technology company in state and federal lawsuits filed in connection with the mass murder of several people by a deranged driver. Successfully litigated the matters to the correct dispute resolution forums, and resolved the matters on favorable terms for all parties.

Michigan and Delaware Business Corporation Act and Limited Liability Company Act Litigation

Frequently investigate and litigate matters for corporations, companies, officers, and directors under Michigan, Delaware and other state Corporation and LLC Acts.

INSURANCE

Fortune 50 Federal RICO Prosecution

Represented Fortune 50 insurance company in civil prosecution under the federal RICO statute of defendants who defrauded insurance companies and insureds by submitting bills under the Michigan No Fault Act for unnecessary medical and diagnostic services purportedly rendered to patients involved in automobile accidents. Simultaneously litigated in bankruptcy court to avoid a discharge by one of the co-conspirator defendants who filed for bankruptcy protection. Navigated state and federal criminal indictments and prosecutions of various co-conspirators in the scheme.

WHITE COLLAR

Commercial Bribery / NY Executive Law 63(12)

Defended former owner and officer of a ground handling company at John F. Kennedy Airport ("JFK") involved in criminal, civil and regulatory inquiries by the New York State Attorney General and the New York and New Jersey Port Authority regarding allegations of bribery and pay-to-play at JFK.

International Securities Fraud / Foreign Corrupt Practices Act

Represented former Executive Vice President of publicly traded company in Foreign Corrupt Practices Act and SEC Section 10(b) and 17(a) securities fraud investigation and enforcement action pending in Chicago alleging that various related party transactions violated Regulation S-K and various accounting rules, and also alleging unlawful payments by co-conspirators to various government-owned entities and personnel in violation of the FCPA. Navigated criminal issues from companion DOJ investigation. Traveled to China to review documents and interview client and material witnesses. Represented client in SEC hearing and testimony.

Federal Election Commission / Campaign Finance

Represented former director of a 501(c)(4) non-profit in a years-long investigation by the FEC as to whether various federal campaign finance laws had been violated based on the corporate structuring of the contribution entities. The investigation involved first impression issues as the FEC attempts to apply federal campaign finance laws to the right of

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corporations to participate in U.S. elections as determined by the U.S. Supreme Court in *Citizens United*.

Export Control / U.S. National Security

Represented software developer for the U.S. Government to investigate suspicious overseas electronic and travel activity by a foreign national involved in developing parts of classified programs for the U.S. Department of Defense. Coordinated internal investigation and government cooperation protocols over export control and espionage issues with the DOJ, FBI, National Security Agency, U.S. Department of Commerce, Bureau of Industry and Security, and Office of Foreign Assets Control.

Corporate Criminal Complaints

Represented national hotel conglomerate and two employees in response to criminal charges for violations of municipal criminal statutes relating to hotel operators that raised constitutional and other challenges.

INVESTIGATIONS

Fortune 100 Sexual Assault

Acted as independent fact investigator relating to allegations of sexual assault against a financial adviser at a Fortune 100 publicly traded company. Worked with the General Counsel and the head of Global Security to develop an investigation plan and reporting procedure.

University Title IX/Olympic Committee Investigations and Litigation

Conducted an investigation into exposure for major public university under Title IX and various state and federal criminal and regulatory sex abuse reporting laws regarding allegations of sexual abuse by Olympic athletes.

FTC Antitrust Investigation

Represented one of the world's largest commercial real estate and investment companies in an FTC antitrust investigation.

AUTOMOTIVE

Represent Stellantis N.V. and FCA U.S. LLC in state and federal RICO lawsuits filed by GM.

ANTITRUST

Health Insurance Antitrust Litigation

Represented Aetna/CVS in an antitrust lawsuit against Blue Cross Blue Shield of Michigan based on allegations that Blue Cross entered into unlawful most-favored-nations contracts with hospitals in Michigan that unlawfully elevated competitors' hospital rates and thwarted competition.

FTC Antitrust Investigation

Represented one of the world's largest commercial real estate and investment companies in FTC antitrust investigation.

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FTC Consumer Protection Administrative Enforcement Action

Represented prominent university professor in FTC administrative proceeding alleging violation of federal consumer protection laws.

INTERNATIONAL AND INTELLECTUAL PROPERTY

Foreign Sovereign Immunities Act

Lead counsel representing Aviation Industry Corporation of China, the largest state-owned enterprise in China, in a lawsuit brought by a company alleging potentially tens of millions of dollars in fees and commissions relating to the sale by General Motors of Nexteer Automotive. The case raised important issues regarding the application of the Foreign Sovereign Immunities Act and involved litigation and argument over four years in federal trial and appellate courts.

International Corporate Espionage Investigations

Represented companies investigating and prosecuting international intellectual property poachers and saboteurs. Worked with U.S. and international legal authorities, agencies and institutions to investigate and prosecute offenders. Counseled companies on timing and substance of parallel civil litigation.

International IP Arbitrations

Defeated multi-million dollar claims against a client, and prevailed on a counterclaim, in a highly complex intellectual property dispute tried in Stockholm, Sweden, under Swedish law and the Swedish Arbitration Act.

Military IP Arbitration

Defended manufacturer and distributor of patented and trade secret dual stage recoverable energy absorption designs used by U.S. and foreign military units.

Extensive Trade Secret and Non-Competition Litigation Experience

Experience representing various companies and individuals in trade secret and non-compete agreement disputes involving complex jurisdictional, IP and technology questions in industries spanning automotive, medical device, software, military and others. Matt has experience representing clients in multiple jurisdictions and forums regarding the same dispute, including dual track state and federal equitable litigation being tried simultaneously with an arbitration for damages.

LABOR PENSION FUND LITIGATION

Represented labor union trustees of five union trust funds created under section 302(c)(5) of the Labor Management Relations Act. The management trustees of the trust funds sued the labor trustees for injunctive relief under ERISA, alleging breach of fiduciary duties by the labor trustees. These parties were also parties to four separate federal court lawsuits regarding similar pension fund issues, one of which was litigated to the U.S. Court of Appeals for the Sixth Circuit.

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APPEALS

Fortune 50 Federal RICO Appeal

Represented Fortune 50 insurance company in federal court of appeals on briefs and at oral argument. Appeal defended various orders from the federal trial court confirming judgement in the RICO case, affirming an award of fees and costs for enforcing various agreements in the RICO case, and compelling defendant to seek dismissal of a Qui Tam lawsuit he filed as a Relator against the client based on allegations related to the RICO case. **Decision>>**

Federal Securities Fraud Appeal

Represented officer, director, and ERISA fiduciary in federal court of appeals on briefs and at oral argument. Appeal defended trial court's order dismissing claims that our client violated various securities, corporate, and ERISA laws in connection with an Employee Stock Ownership Plan (ESOP) transaction with former company owners and directors. **Decision>>**

State Shareholder Litigation Appeal

Represented controlling shareholder on briefs and at oral argument in state court of appeals in a bet-the-company corporate litigation matter. Appeal concerned trial court rulings interpreting various minority shareholder and derivative statutes in the state's business corporation act. **Decision>>**

Honors

Fellow, Litigation Counsel of America

Legal 500 US Detroit Elite, Commercial Disputes, 2026

Best Lawyers in America, Commercial Litigation, 2013-present

Michigan Super Lawyers, Top 100 in Michigan, Business Litigation, 2023–2025; Recognized for Business Litigation, 2014-present; Rising Star, Business Litigation, 2009, 2013

Leading Lawyers, Civil Appellate Law, Class Action/Mass Tort Defense Law, Commercial Litigation, Trade Secrets/Unfair Competition Law, 2014–present

State Bar of Michigan Pro Bono Honor Roll, 2020, 2024–2025

DBusiness Top Lawyers:

Intellectual Property Litigation

Insurance Litigation

Securities Law

Appellate Law

Antitrust Law

National Institute for Trial Advocacy, Trial Skills Diploma

Matthew P. Allen

Law Clerk to the Hon. Lawrence P. Zatkoff, Chief Judge, U.S. District Court for the Eastern District of Michigan, 2000-2002

Wayne State University Law School, Editor-in-Chief, The Wayne Law Review; Recipient of the Jason L. Honigman Scholarship Award

Professional Activities

Litigation Counsel of America

- Barrister, Order of Veritas
- Member, Trial Law Institute
- Member, Diversity Law Institute

American Bar Association, SEC Enforcement Subcommittee Co-Chair

Federal Bar Association, Executive Board Member for Eastern District of Michigan Chapter and co-chair of various committees

International Bar Association

State Bar of Michigan

State Bar of New York

State Bar of Illinois

American Bar Foundation, Fellow

Michigan State Bar Foundation, Fellow

U.S. District Court for the Eastern District of Michigan, Local Rules Advisory Committee

Oakland County Bar Association, Master in Oakland County Inn of Court program

American Intellectual Property Law Association

Brother Rice Warrior Bar Association

Civic, Cultural & Social Activities

Leadership Oakland, Board of Directors, 2021-present

Leadership Oakland XVII Cornerstone Program

Matthew P. Allen

Crossroads For Youth, Inc., Board of Directors, 2007-2016

J. Reuben Clark Law Society, Detroit Chapter Board of Directors, 2007-2016

State University of New York College at Fredonia, cum laude; Member of Pi Sigma Alpha--The National Political Science Honor Society; Member of Men's NCAA varsity ice hockey team

St. Bonaventure University, Member of Men's NCAA varsity ice hockey team

Law Clerk, Air Force Legal Services Agency, Washington, D.C.

Brother Rice High School

Speeches

"Demystifying Discovery: Fundamental Tools and Tactics for Law Clerks and New Lawyers," Federal Bar Association Webinar, April 29, 2026

"Parallel Proceedings - Federal Securities Enforcement Actions and Prosecutions," Midwest Securities Law Institute, Wayne State University Law School, September 20, 2024

"SEC-FINRA Enforcement Updates: Significant Aspects of, and Changes with, the Enforcement Landscape," Midwest Securities Law Institute, Wayne State University Law School, September 20, 2024

"SEC-FINRA Enforcement Update," Midwest Securities Law Institute, Wayne State University Law School, Sept. 22, 2023

"Step-by-Step Guide for When You Receive an SEC Wells Notice," Co-Presented with Richard Hong, American Bar Association Securities Litigation Subcommittee Webinar, 2023

"Liu v. SEC: U.S. Supreme Court Trims SEC's Civil Disgorgement Remedy," American Bar Association, January 4, 2021

"Liu v. SEC: Civil Disgorgement on the Chopping Block," American Bar Association, March 2, 2020

"Sound Advice: SEC Speaks 2019," American Bar Association, May 10, 2019

"Suitability of Variable Annuities," Miller Canfield's Before the Bell Breakfast Forum, November 21, 2013

"Win-Win Michigan: SEC Whistleblower Program," Crain's Detroit Business, July 29, 2013

"The New Business Integrity Challenge: Protecting Corporate Assets and the Brand in a Digitized, Global Environment," Miller Canfield/KPMG, December 5, 2012

"SEC + ERISA Fiduciary Duty Update," Miller Canfield's Before the Bell Breakfast Forum, June 21, 2012

"Shifting Sands of Fiduciary Duty: An SEC + ERISA Perspective," Miller Canfield, May-June, 2012

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"The SEC Is Coming, Are You Ready? How To Handle A Regulatory Examination or Injury," Miller Canfield Webinar, April 25, 2012

"MSU-FBI Academic Alliance Conference (Lansing 2012)," Miller Canfield, September 13, 2011

"Morning Update: Economic Espionage - Protecting Intellectual Property Worldwide," Miller Canfield, September 7, 2011

"Economic Espionage - Protecting Intellectual Property Worldwide," Miller Canfield, June 7, 2011

"A Report from SIFMA (Securities Industry and Financial Markets Association) C&L Annual Seminar," Miller Canfield's Before the Bell Breakfast Forum, May 19, 2011

"Back to the Future - Cases Back in Court," Miller Canfield's Before the Bell Breakfast Forum, September 16, 2010

"Climate Change Disclosure Obligations: New Risks, New Opportunities," Miller Canfield Webinar, March 23, 2010

"Climate Change Investment Implications," Miller Canfield's Before the Bell Breakfast Forum, January 21, 2010

"Joint Defense Agreements: Benefits, Pitfalls, and Best Practices," State Bar of Michigan, Business Law Section, Commercial Litigation Committee, Webinar, Co-Presenter

Publications

"A Primer on Handling an Administrative Agency Matter," Laches Magazine, January 2026

"A Matter of Deference," American Bar Association, March 3, 2025

"SCOTUS Curtails SEC's Authority to Penalize Securities Fraud," *The National Law Review*, Co-authored with Erika Giroux, July 1, 2024

"Junk Science or Relevant Evidence: Supreme Court Says Experts May Now Aid in Determining Criminal Intent," *The National Law Review*, June 21, 2024

"Shadow Trading—The SEC's Groundbreaking Insider Trading Theory," American Bar Association, March 14, 2024

"Michigan Court of Appeals Broadens Potential Exposure to Securities Fraud by Issuers and Sellers of Debt Instruments in Michigan," *The Michigan Business Law Journal*, Summer 2023

"SEC Sues Coinbase to Establish Jurisdiction Over Cryptocurrencies and Crypto Exchanges," *The Michigan Business Law Journal*, *The Michigan Business Law Journal*, Summer 2023

"Getting Back Into the Game—How Barred Financial Professionals Can Apply for Readmission to the Securities Industry Under SEC Rule of Practice 193," *The Michigan Business Law Journal*, Spring 2023

"Getting Back Into the Game: A primer for financial professionals subject to securities industry bars to apply for readmission under SEC Rule of Practice 193," American Bar Association, January 31, 2023

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"Can Michigan Courts Apply Discounts When Determining the 'Fair Value' of Minority Shares in a Share Buyback Remedy Under Michigan's Shareholder Oppression Statutes?" *The Michigan Business Law Journal*, Fall 2020

"A Guide to Representing Parties in SEC Investigations in the Time of Coronavirus," co-author, *American Bar Association*, April 27, 2020

"Michigan Criminal Law Survey," co-authored with Gerald J. Gleeson II and Jeffrey A. Crapko, 64 *Wayne L. Rev.* 607 (2019)

"Insights from 2019 SEC Speaks Conference," April 12, 2019

"How Did Many Issues Arise Out of One?: *Leidos v. Indiana Public Retirement System*," *American Bar Association*, Nov. 9, 2017

"Supreme Court Affirms Broader View of 'Personal Benefits' that Can Trigger Insider-Trading Liability," *American Bar Association*, Dec. 12, 2016

"The SEC Whistleblower Program – What Employers Need to Know," *Michigan Business Law Journal*, Spring 2014

"The SEC Whistleblower Program – What Employers Need to Know," *Michigan Defense Quarterly*, February 2014

"The SEC Cooperation Initiative and Its Criminal Roots" February 27, 2013

"High Stakes Sleuthing: Handling Corporate and IP Espionage Matters in the Information Age," *Litigation Strategies for Intellectual Property Cases*, 2012 ed., 137-69, Aspatore Books from Thomson Reuters Westlaw

"U.S. Federal Circuit Upholds U.S. International Trade Commission's Authority to Ban Importation of Products Containing Trade Secrets Misappropriated by a Chinese Company in China - Case Study: *TianRui v. ITC*," *Expert Analysis, Law360; North American Free Trade & Investment Report*, November 30, 2011

"The New Form ADV Part 2 and the 'Plain English' Movement of the SEC, FINRA, and Michigan's OFIR," (Co-Author), *Michigan Business Law Journal, State Bar of Michigan*, Spring 2011

"A Lesson from History, Roosevelt to Obama—the Evolution of Broker-Dealer Regulation: from Self-Regulation, Arbitration, and Suitability to Federal Regulation, Litigation, and Fiduciary Duty," *Moritz College of Law, Ohio State University, Entrepreneurial Business Law Journal*, Vol. 5, No. 1, 2010

"SEC Opens the Door for Climate Change-Related Shareholder Proposals and Disclosure Requirements, With Potential New Liabilities for Public Companies," (Co-author), *Securities Regulation & Law Report BNA*, 42 *SRLR*, March 1, 2010

Evidence, *Annual Survey of Sixth Circuit Law*, (Co-author), 2002 *L.Rev. M.S.U.-D.C.L.* 525; 2001 *L.Rev. M.S.U.-D.C.L.* 725

Articles

U.S. Supreme Court Curtails Securities and Exchange Commission's In-House Authority to Penalize Securities Fraud

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Junk Science or Relevant Evidence: Supreme Court Says Experts May Now Aid in Determining Criminal Intent

Business Groups Challenge New FTC Rule Prohibiting Noncompete Agreements

Redefining Insider Trading

Supreme Court Holds District Court Proceedings Must be Stayed During Appeal of Order Refusing to Enforce an Arbitration Agreement

Supreme Court Ruling Strengthens Government's Ability to Voluntarily Dismiss Whistleblower Lawsuits

SEC Sues Coinbase to Establish Jurisdiction Over Cryptocurrencies and Crypto Exchanges

Michigan Court of Appeals Broadens Potential Exposure to Securities Fraud by Issuers and Sellers of Debt Instruments in Michigan

Federal Court Calls Unconstitutional the U.S. Securities & Exchange Commission's In-House Administrative Proceedings for Securities Fraud Cases

Securities Regulators Issue Guidance to Financial Advisors and Firms on Disclosures, Investigations and Enforcement Actions During the Coronavirus Pandemic

Michigan's Securities Regulator Issues New Rules, Including a New Private Fund Adviser Registration Exemption

Insights from the 2019 SEC Speaks Conference

The Supreme Court Rules that SEC ALJs Were Unconstitutionally Appointed

Second Circuit Broadens 'Personal Benefits' Triggering Insider Trading Liability

The U.S. Supreme Court Puts S.E.C. Disgorgement Actions on the Clock

U.S. Supreme Court Affirms Broader View of "Personal Benefits" That Can Trigger Insider-Trading Liability

DOL Issues Groundbreaking Fiduciary Investment Rules

SCOTUS Clarifies "Fraud on the Market" Procedure in Securities Class Actions

"The SEC Speaks" 2014 — SEC Reveals Regulatory and Litigation DNA Now Includes Economic Analysis and Technology

The SEC Whistleblower Program - What Employers Need to Know

The SEC Cooperation Initiative and Its Criminal Roots

SEC Commissioners Say More Regulation, Oversight Needed

High Stakes Sleuthing: What You Should Know About Protecting Your Trade Secrets

The New Form ADV Part 2 and the "Plain English" Movement of the SEC, FINRA, and Michigan's OFIR

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SEC Opens the Door for Climate Change-Related Shareholder Proposals and Disclosure Requirements, with Potential New Liabilities for Public Companies

SEC Expands Public Companies' Disclosure Requirements